

RECEIVED  
CENTRAL FAX CENTER

PATENT

MIT Case Number: 7762 APR 28 2011

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Zhang et al.  
 Serial No.: 08/882,415  
 Filed: June 25, 1997  
 Patent No.: 6,368,877  
 Issued: April 9, 2002  
 Customer No.: 91197  
 Title: SELF-ASSEMBLING PEPTIDE SURFACES FOR CELL PATTERNING AND INTERACTIONS

 USPTO  
 RECEIPTS ACCOUNTING  
 DIVISION  
 2011 APR 29 PM 4:33
DEFICIENCY PAYMENT UNDER 37 C.F.R. § 1.28 (c)

Applicants had previously established in good faith, the status of the subject US Patent as a small entity and paid the maintenance fee as such. It was subsequently discovered that such status was established in error. Applicants submit herewith a deficiency payment of \$1,240, which represents the deficiency owed for the maintenance fee.

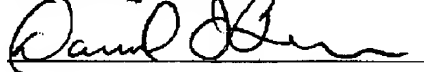
The deficient payment is itemized as follows:

Type of Fee	Date	Small Entity Fee Paid	Current Large Entity Fee	Deficiency
8 <sup>th</sup> Year Maintenance Fee	October 9, 2009	\$1,240	\$2,480	\$1,240

The Office is hereby authorized to charge \$1,240 to Deposit Account No. 192553 in payment of the above-referenced deficiency.

If there are any additional charges, or any credits, please apply them to Deposit Account No. 192553.

Respectfully submitted



Date: April 28, 2011

Daniel O'Brien, Intellectual Property Manager  
 Massachusetts Institute of Technology  
 Technology Licensing Office  
 Five Cambridge Center, Kendall Square  
 Cambridge, Massachusetts 02142-1493  
 617.258.7148 (Telephone)  
 617.258.6790 (Fax)

05/03/2011 DALLEN 00000010 192553 6368877  
 01 FC:1599 1240.00 DA

APR 28 2011

Approved for use through 07/31/2012 OMB 0851-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Zhang et al.

Application No./Patent No.: 08/882,415 - 6,368,877

Filed/Issue Date: 06-25-1997 - 04-09-2002

Titled:

**SELF-ASSEMBLING PEPTIDE SURFACES FOR CELL PATTERNING AND INTERACTIONS**

Massachusetts Institute of Technology

, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☒ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 008921, Frame 0115, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

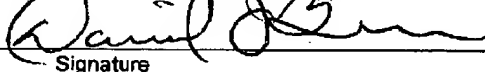
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

April 28, 2010

Date

Daniel O'Brien

IP Manager

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.